Meeting of:	**GOVERNANCE AND AUDIT COMMITTEE**
Date of Meeting:	**16 JULY 2026**
Report Title:	**REGULATORY TRACKER UPDATE**
Report Owner: Responsible Chief Officer / Cabinet Member	**CORPORATE DIRECTOR – FINANCE AND TRANSFORMATION**
Responsible Officer:	**KATE PASK POLICY AND PERFORMANCE MANAGER**
Policy Framework and Procedure Rules:	**The regulatory tracker forms part of the Council's Performance Management Framework.**
Executive Summary:	**To follow up on a request for additional information and explanatory notes where recommendations contained on the Regulatory Tracker remain outstanding for a number of years.** **At Quarter 4 2025-26 this includes 8 recommendations across 4 audit/inspection reports**

1. Purpose of Report

1.1 The purpose of this report is to provide the Governance and Audit Committee with an update on the Regulatory Tracker with additional information and explanatory notes where recommendations have remained outstanding for a number of years.

2. Background

2.1 A report to the Governance and Audit Committee (GAC) on 10 November 2022 proposed that a 'regulatory tracker' be created to monitor progress against recommendations from all inspections/audits completed by key regulators of local government services, including Audit Wales, Care Inspectorate Wales (CIW), and Estyn. An updated 'regulatory tracker' is considered at GAC twice yearly. The last regulatory tracker update report was presented to GAC on 21 May 2026 and covered the period up to the end of Quarter 4 of 2025-26.

2.2 At the Committee meeting concerns were raised over a number of recommendations still open on the tracker from audit reports/inspections that are a number of years old and sought additional information to clarify the reasons for this. Therefore, this report focuses only on recommendations from audits published before 30 June 2024.

2.3 The performance judgements for recommendations within the regulatory tracker are awarded by applying the following key as prescribed within the Performance Management Framework, which provides clear definitions for the Blue, Red, Amber, Yellow, Green (BRAYG) statuses.

	What does this Status mean?		
	How are we doing	**Commitments, projects or regulatory improvements**	**Performance Indicators**
COMPLETE (BLUE)	Not applicable	Project is completed	Not applicable
EXCELLENT (GREEN)	Very strong, sustained performance and practice	As planned **-** within timescales, on budget, achieving outcomes	On target AND performance has improved / is at maximum
GOOD (YELLOW)	Strong features, minor aspects may need improvement	Minor issues. One of the following applies – deadlines show slippage, project is going over budget or risk score increases	On target
ADEQUATE (AMBER)	Needs improvement. Strengths outweigh weaknesses, but important aspects need improvement	Issues – More than one of the following applies - deadlines show slippage, project is going over budget or risk score increases	Off target (within 10% of target)
UNSATISFACTORY (RED)	Needs urgent improvement. Weaknesses outweigh strengths	Significant issues – deadlines breached, project over budget, risk score up to critical or worse	Off target (target missed by 10%+)

3. Current situation / proposal

3.1 At quarter 4 2025-26 there are four audit/inspection reports published before 30 June 2024 which have a total of 8 recommendations still open. These are listed in Table 1 below, which also contains a summary of the Blue, Red, Amber, Yellow, Green (BRAYG) judgements for these recommendations at quarter 4 2025-26.

Table 1

Audit/Inspection	Recommendations					
	Total	Closed	Open			
		Blue	Green	Yellow	Amber	Red
Audit Wales, Digital Strategy Review (April 2024)	**3**	1	-	-	2	-
CIW Improvement Check Visit to Children's Social Care Services (November 2022)	**10**	8	2	-	-	-
Transformational Leadership Programme Board, Baseline Governance Review CTM RPB (January 2023)	**7**	4	-	3	-	-
Audit Wales, Review of Arrangements to Become a 'Digital Council' (September 2021)	**3**	2	-	-	1	-
Total	**23**	**15**	**2**	**3**	**3**	**-**

3.2 Chart 1 below illustrates the proportion of the recommendations which are open and closed for each audit/Inspection. Chart 2 illustrates the proportion in each BRAYG status.

Chart 1

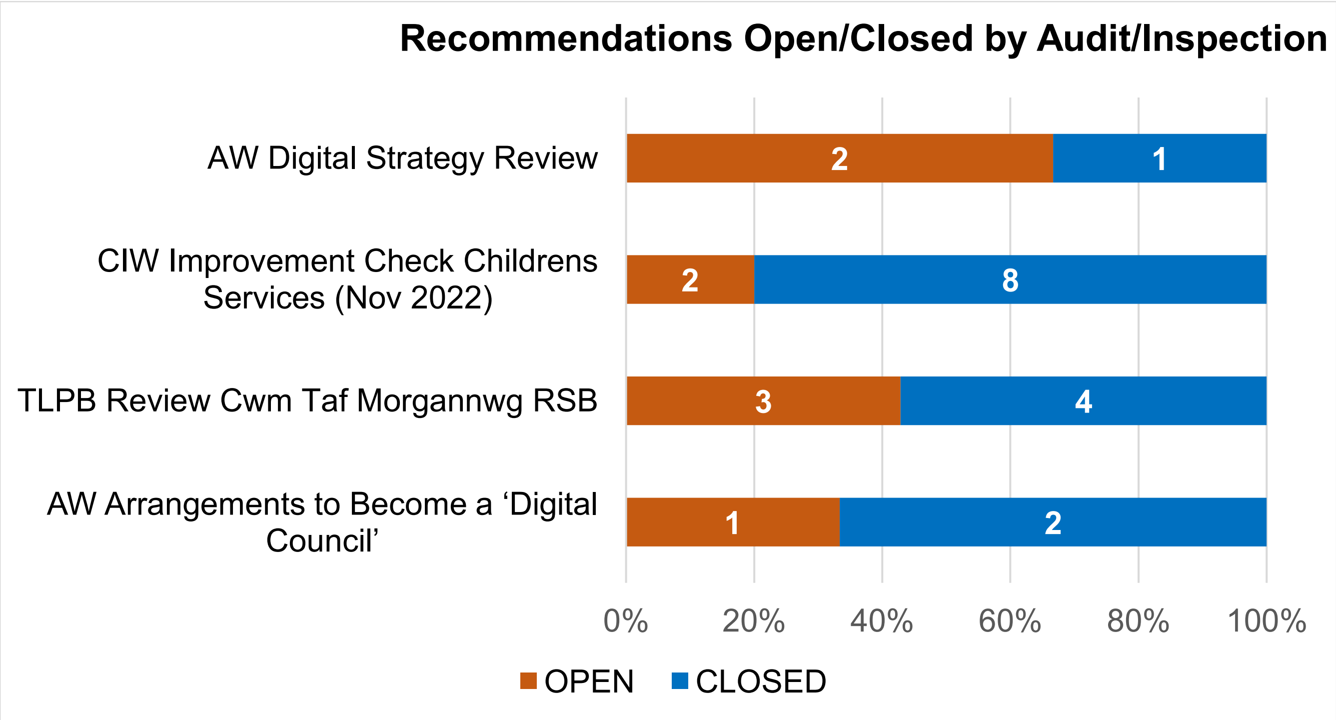
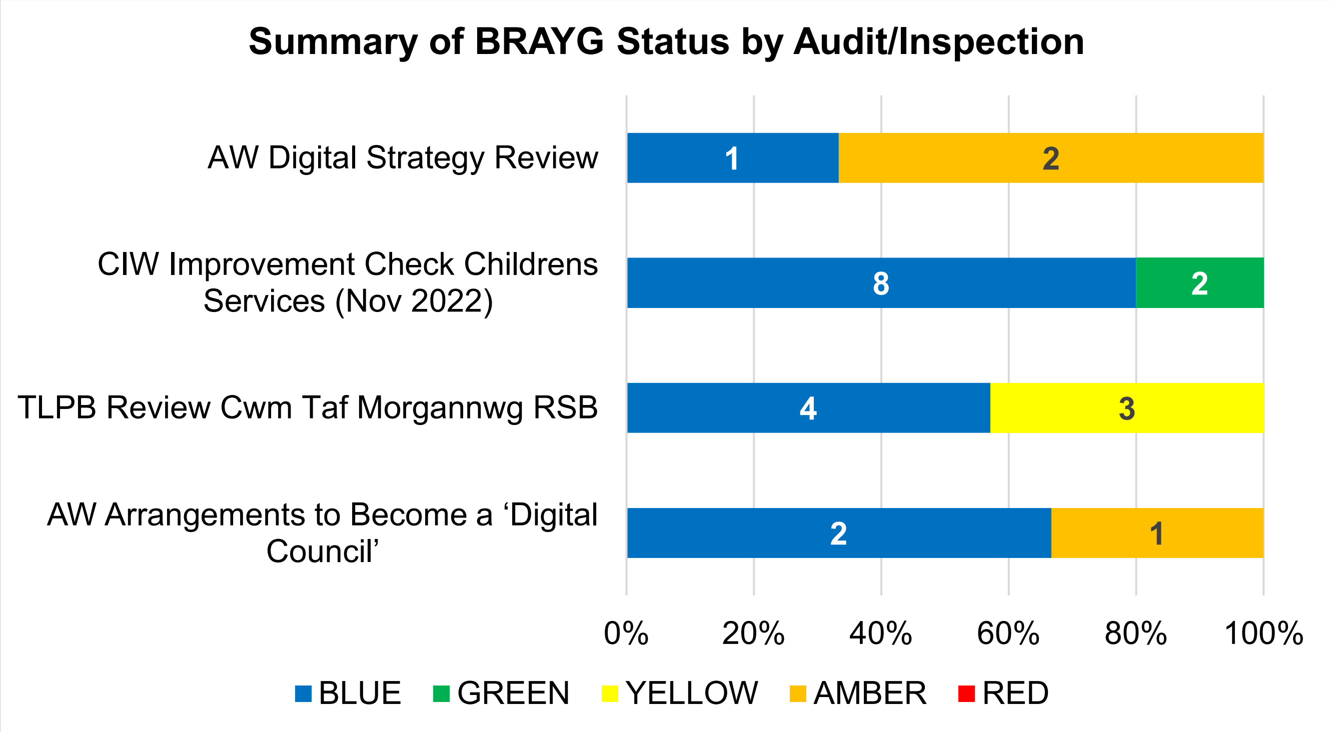


Chart 2



3.3 **Appendix 1** provides an extract of the regulatory tracker for the 8 open recommendations with an additional column added to provide the Committee with an explanation of the reasons each remains open.

4. Equality implications (including Socio-economic Duty / Welsh Language)

4.1 The protected characteristics identified within the Equality Act, Socio-economic Duty and the impact on the use of the Welsh Language have been considered in the preparation of this report. As a public body in Wales the Council must consider the impact of strategic decisions, such as the development or the review of policies, strategies, services and functions. It is considered that there will be no significant or unacceptable equality impacts as a result of this report.

5. Well-being of Future Generations implications and connection to Corporate Well-being Objectives

5.1 This report forms part of the measurement of progress against the following corporate well-being objectives under the Well-being of Future Generations (Wales) Act 2015 that form part of the Council's Corporate Plan 2023-28:-

- THRIVING - A prosperous place with thriving communities
- EMPOWERING - Supporting our most vulnerable
- ACHIEVING - Enabling people to meet their potential
- MODERNISING - Creating modern, seamless public services

6. Climate Change and Nature Implications

6.1 There are no specific implications of this report on nature or climate change.

7. Safeguarding and Corporate Parent Implications

7.1 There are no specific implications of this report on safeguarding or corporate parenting.

8. Financial Implications

8.1 There are no financial implications associated with these arrangements.

9. Recommendation

9.1 The Governance and Audit Committee is recommended to consider the summary points and the reasons for the remaining outstanding actions provided in **Appendix 1** and identify any areas requiring further scrutiny or follow-up.

Background documents

None.